



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Helmut WOLLSCHLÄGER

Group Art Unit: 3763

Serial No.: 09/990,342

Examiner: C. Williams

Filed: November 23, 2001

For: METHOD AND DEVICE FOR A MICRO-INVASIVE INTERVENTION AND GUIDING CATHETER AND VALVE UNIT FOR A DEVICE FOR A MICRO-INVASIVE INTERVENTION

**INFORMATION DISCLOSURE STATEMENT AND
FEE TRANSMITTAL UNDER RULE 17(p)**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:

Pursuant to Rules 56 and 99, Applicants hereby call the attention of the Patent Office to the references listed on the attached Form PTO 1449. These references are also listed in the enclosed Search Report from the corresponding European application.

Applicants present these references so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention, see Beckman Instruments, Inc. v. Chemtronics, Inc., 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104. Applicants respectfully request that these references be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

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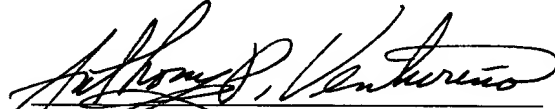
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Enclosed is Check No. 40195 in the amount of \$180.00 in payment of the fee for submitting an Information Disclosure Statement after the first Office Action but before Final Rejection pursuant to Rule 97(c)(1) and (2) and Rule 17(p).

Respectfully submitted,

Date: March 15, 2004

By:



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FORM PTO-1449 U.S. Department of Commerce
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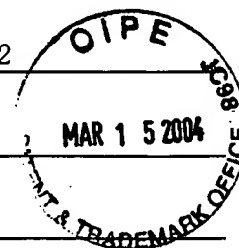
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INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

APPLICANT
Helmut WOLLSCHLÄGER

FILING DATE
November 23, 2001

GROUP
3763



(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5	5	2	0	6	6	3	05/96	Patterson et al.			
		5	9	9	7	5	6	2	12/99	Zadno-Azizi et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
		9	2	2	5	0	3	5	09/97	JP			Abstract Only	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Patent Abstracts of Japan, vol. 1998, no. 01 (30 January 1998)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.